# Lecture 2 Solving Problems by Searching

TDT4136: Introduction to Artificial Intelligence

Xavier F. C. Sánchez Díaz

Department of Computer Science Faculty of Information Technology and Electrical Engineering Norwegian University of Science and Techno;logy

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## Outline

- 1 Problem solving and search
- 2 The search algorithm
- Uninformed search strategies
   Breadth-first search
   Depth First Search
   Depth-limited and Iterative deepening search
- 4 Informed search strategies (Greedy) Best First Search A\* Search

# Why searching?

Problem solving and search

- Some problems have straightforward solutions
  - Solved by applying a formula, or a well-known procedure
  - Example: differential equations
- Other problems require search:
  - no single standardised method
  - alternatives need to be explored to solve the problem
  - ▶ the number of alternatives to search among can be very large, even infinite

# Why searching?

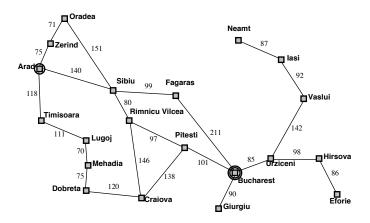
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This happens often in the real world, where there is a cost associated with our actions.

# An example about search

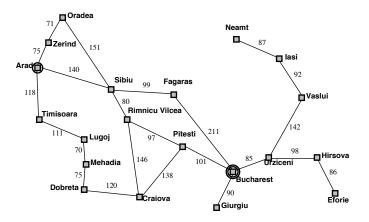
Problem solving and search



A simplified map of part of Romania, with road distances in miles.

# An example about search

Problem solving and search



A simplified map of part of Romania, with road distances in miles.

Find a sequence of cities to drive through, from **Arad** to **Bucharest**.

Problem solving and search

- ► Formulate the start and goal states
- ► What other **states** are there in the problem? What are the possible **actions** we can take?

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  - ► This sequence (or a subsequence) of actions is **the solution**!

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- Simulate sequences of actions in the world to find a sequence that reaches the goal.
  - ► This sequence (or a subsequence) of actions is **the solution**!
- ► Execute: carry out the necessary actions in the solution, one at a time.

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A good problem formulation has the appropriate **level of abstraction**.

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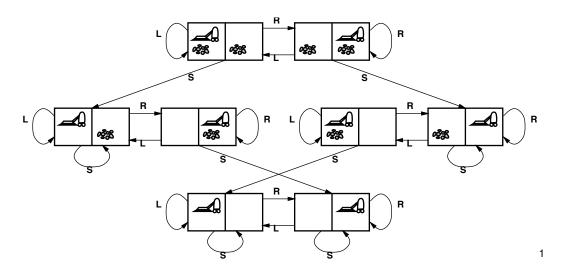
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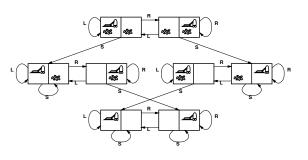
Having it in mathematical terms makes it easier to code!

Problem solving and search



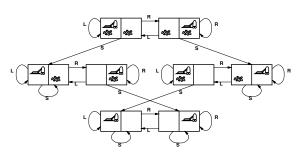
<sup>&</sup>lt;sup>1</sup>p. 85 in the textbook.

Problem solving and search



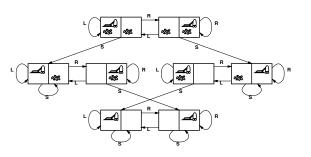
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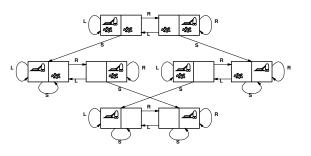
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Problem solving and search



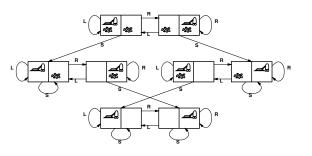
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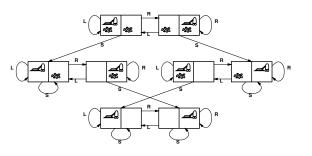
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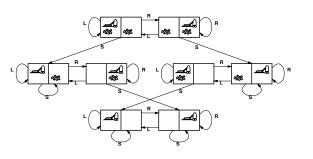
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Notice how we do not care much about costs here!

Problem solving and search

## These kind of search problems happen all the time!





Problem solving and search

But the real world is usually more complex!

Resources are limited (and costs become important!)

Problem solving and search

#### But the real world is usually **more complex**!

- Resources are limited (and costs become important!)
- ► We have constraints and restrictions

Problem solving and search

#### But the real world is usually **more complex**!

- Resources are limited (and costs become important!)
- We have constraints and restrictions
- ► We need to be quick and cannot freely **explore**

Problem solving and search

The Travelling Salesperson Problem: find shortest route visiting each location once and returns to initial location.

► For example: Delivery services



Problem solving and search

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- ► The Holidays in Romania example



# **Applications**

Problem solving and search

The Travelling Salesperson Problem: find shortest route visiting each location once and returns to initial location.

- ► For example: Delivery services (and you can always make it more complicated!)
  - Time windows
  - Closed roads
  - Traffic
- ▶ The Holidays in Romania example



# **Applications**

Problem solving and search

Assembly problems: find an order for assembling the parts of some object.

- For example: Manufacturing and design (and you can always make it more complicated!)
  - Find the **optimal** order (minimum cost)
  - ► Reduce idle time on different machines
  - Assembly lines could be dependent on each other



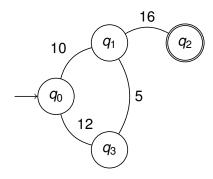
# Section 2 The search algorithm

# What is a search algorithm?

Search algorithms

It is a **function** of the form *Search*(*PROBLEM*) that returns either a solution or failure.

- ► A state is a *representation of* a configuration
- Using a state space graph we can represent all possible states, and the transitions between them.

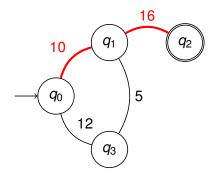


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- Using a state space graph we can represent all possible states, and the transitions between them.
- We can superimpose a search tree on the space graph and show a particular algorithm!



# Exploring the state space I

Search algorithms

- ► Most of the time, it is not feasible (or it is too expensive) to build and represent the entire state graph.
- ► The problem solver agent generates a solution by **incrementally exploring** a small portion of the graph
- We simulate the exploration by generating successors of already-explored states.

# Exploring the state space II

Search algorithms

### The search procedure

- 1. You are standing on the initial node. What are the states to be explored from here?
- 2. Is any of the nodes able to be explored, the goal? If not, generate successors of a node: **expand** the node<sup>2</sup>
- Add the successors nodes into the list of "to be explored".
- 4. Select (according to certain **criteria**) the next node to expand.

This process will be **repeated** until we either find a solution, or fail (by running out of time, of states, of resources...)

<sup>&</sup>lt;sup>2</sup>Consider that each algorithm dictates when the 'goal check' is performed!

## Exploring the state space

Search algorithms

#### The search procedure revised

You are standing on the starting node.

- 1. Check where you are standing: is it the goal?3
- 2. If not, then what are the nodes to be explored here?.
- 3. Expand the node you are in
- 4. Add the successors nodes into the **frontier**
- 5. Select (according to certain **criteria**—a function *f*—) the next node to expand and move.

And then repeat!

<sup>&</sup>lt;sup>3</sup>Consider that the 'goal check' is dependent on the algorithm!

## Exploring the state space

Search algorithms

### The search procedure revised

You are standing on the starting node.

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#### What is a node?

Search algorithms

A **node** is a *representation* of a **state**. It is a data structure constituting a **part of a search tree**:

- ► The state of the node
- ► The parent of the node (or which node you came from)
- ► The action taken to reach the node
- ► The children of the node (or which states you can go to)
- ► The path cost of the search (so far)

Notice how a node is not a state, but a step in the search!

# Terminology and the book I

Search algorithms

If a node is in the frontier, it does not mean it has been expanded! At least not for our book.

- ► The **frontier** are those nodes *I* can expand
- ► The set of reached nodes contains both the frontier AND the expanded nodes

So, formally, we know that

- ► Frontier ⊂ Reached, and
- ► Frontier ∪ Expanded = Reached

And so,  $Expanded = Reached \setminus Frontier$ .

# Terminology and the book II

Search algorithms

The book also uses *object-oriented programming* notation to refer to *pertaining* (or *belonging*):

- ▶ node.STATE is the STATE of node
- node.PARENT is the PARENT of node...

Operations are usually referred to as **functions**.

- Search(problem) is the Search procedure on the instance problem
- IsEmpty(frontier) is a function which returns true if the frontier is empty
- Pop(frontier) removes the top node of the frontier and returns it, while Top(frontier) just peeks at it (no removal)
- ► Add(node, frontier)...

You get the idea.

## Graph properties

Search algorithms

As many other graphs, search graphs can contain **redundant paths** and **loops**. One can check the chain of parent nodes and make sure not to visit the same node twice. However, keep in mind that **coding** is very different from the theoretical analysis we will do in the course.

The performance of a search algorithm can be measured in different ways:

- ► Completeness: is the algorithm guaranteed to find a solution?
- Optimality: the solution quality. Is it optimal? (cheaper, faster, etc.)
- ► **Time complexity**: how long does the algorithm take? (in seconds, operations, expanded states...)
- Space complexity: how much memory do we need, for example, in the frontier or reached sets?

# Section 3 Uninformed search strategies

Uninformed search strategies

Recall the fifth step in the searching procedure a few slides back:

The searching procedure revised

...5. Select (according to certain **criteria**—a function f—) the next node to expand and move.

Depending on the type selection criteria and storage used, search strategies work differently!

Uninformed search strategies

Recall the fifth step in the searching procedure a few slides back:

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- Breadth-first search (BFS)
- Depth-first search (DFS)
- Depth-limited search
- Iterative deepening
- Uniform-cost (Dijkstra)

Search strategies

Implementation details vary a lot, and can be tricky!

▶ Is the algorithm checking for redundant paths (graph search) or not (tree search)?

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#### Read the book!

To become familiar with the algorithms and their implementations details, you should read the book. These slides are not a replacement for the book; they are a summary of the most important points.

Uninformed search strategies

BFS prioritises old nodes first, and newly discovered ones last (hence the name, as it explores by *breadth* first)

- ► The *frontier* is a queue, i.e., "First In, First Out" (FIFO).
- Start at A and Goal is G.
- 1. Add A to frontier and solution.

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8

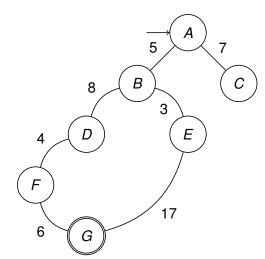
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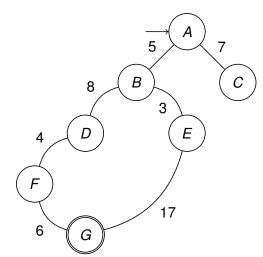


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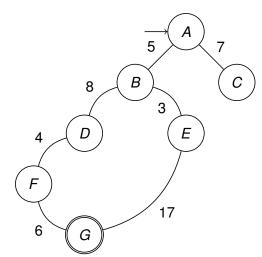


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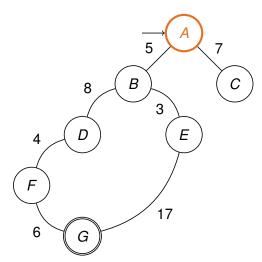


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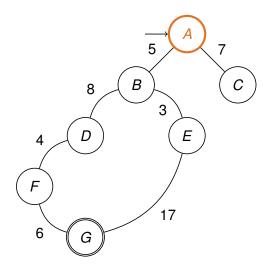
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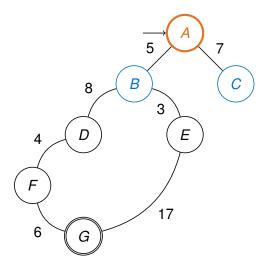
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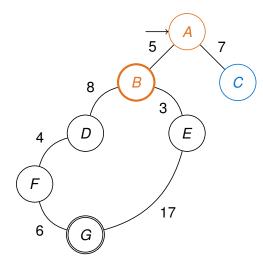
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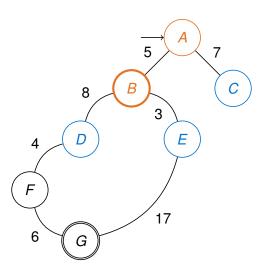
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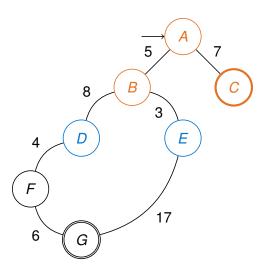
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- 4. Choose first element in *frontier*.



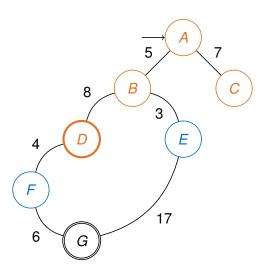
Uninformed search strategies



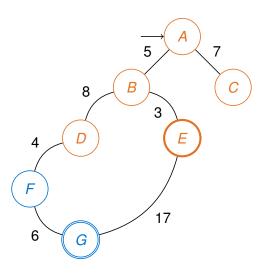
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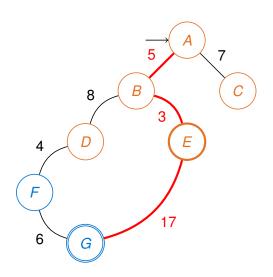


Uninformed search strategies



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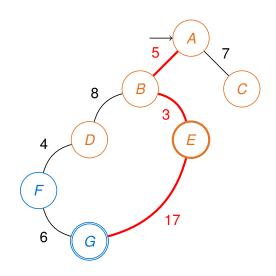
- ► We have **seen** the goal!<sup>a</sup>
- We can reconstruct the solution by creating a chain of parents from the goal



<sup>&</sup>lt;sup>a</sup>Remember we check for goal when adding to the frontier in BFS!

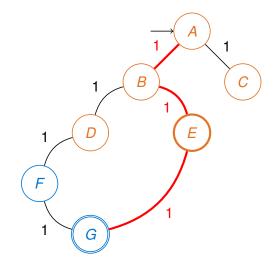
Uninformed search strategies

► Not optimal, unless all costs were equal!



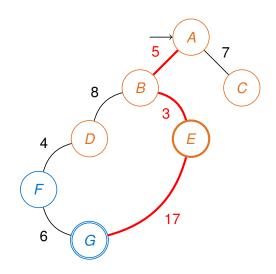
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- Not optimal, unless all costs were equal!
- ► Like so!



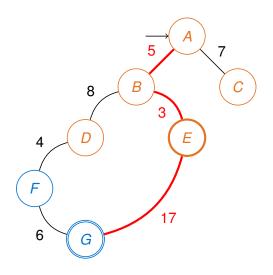
Uninformed search strategies

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- Like so!
- Complete: always finds a solution if space state is finite



Uninformed search strategies

- ► Not optimal, unless all costs were equal!
- Like so!
- Complete: always finds a solution if space state is finite
- ► Time and space complexity is insane  $\mathcal{O}(b^d)$  where b is the branching factor (number of successors to consider) and d is the depth of the shallowest solution.



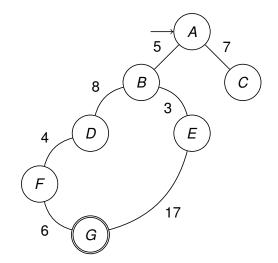
This was a summarised, but still quite detailed explanation. The following algorithms will be summarised a bit more, so

check your book for the step by step strategies.

Uninformed search strategies

DFS prioritises **new nodes first**, and previously discovered ones go last (hence the name, as it explores by *depth* first)

- ► The *frontier* is a **stack**, i.e., "Last In, First Out" (LIFO)
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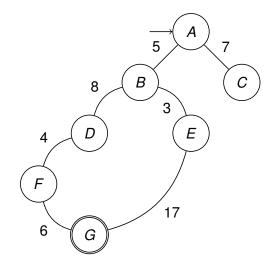
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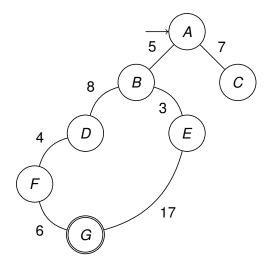
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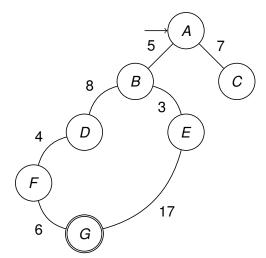
36 / 62

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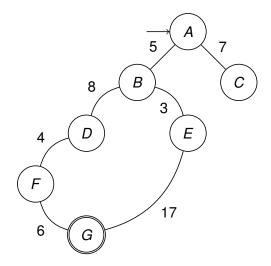
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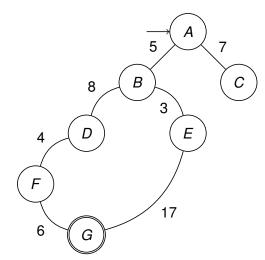


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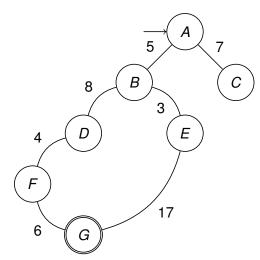
X. Sánchez Díaz NTNU IE IDI Search A Autumn 2025

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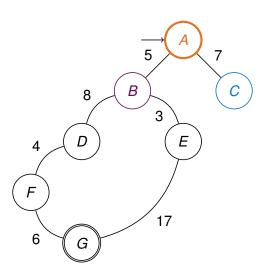
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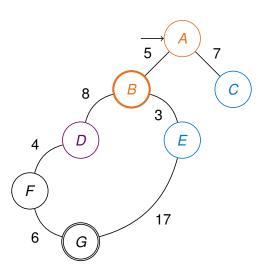
And repeat...



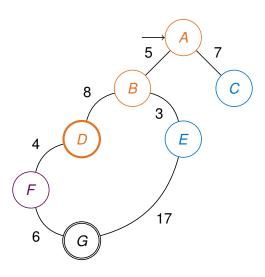
Uninformed search strategies



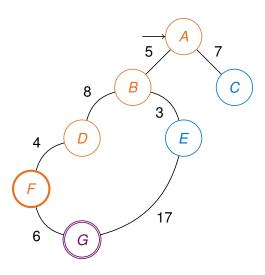
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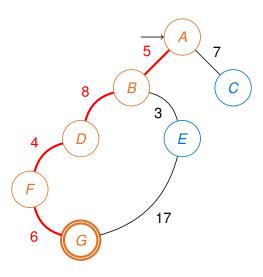
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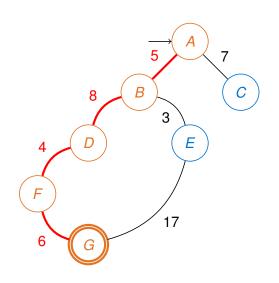


Uninformed search strategies



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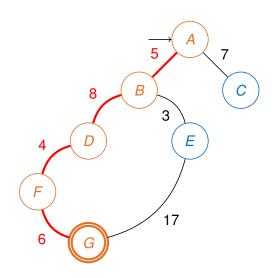
► Not always optimal



<sup>a</sup>because it is usually implemented as tree search

Uninformed search strategies

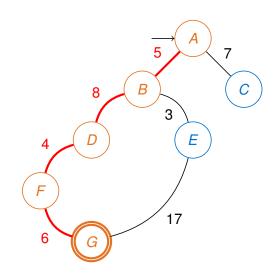
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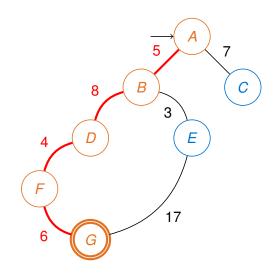
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Uninformed search strategies

- Not always optimal
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Uninformed search strategies

- Not always optimal
- It returns the first solution found. We were lucky!
- ► Not Complete: fails in infinitely-deep spaces and spaces with loops<sup>a</sup>
- ► Time complexity  $\mathcal{O}(b^m)$ , and space complexity is linear  $\mathcal{O}(bm)$  where b is the branching factor and m is the maximum depth in the state space (tree version)
- One can make a smarter version of DFS with graph search (memory). Space complexity grows to exponential, and might still miss if on infinite spaces.

<sup>8</sup> E 6

<sup>&</sup>lt;sup>a</sup>because it is usually implemented as tree search

# Depth-limited and Iterative deepening search

Uninformed search strategies

Two other ideas lie on imposing a limit on DFS, both as tree search strategies.

- ▶ Use DFS with DepthLimit = 1
- ▶ If no solution found, then try increasing the *DepthLimit iteratively* until a set *cutoff*.

# Depth-limited and Iterative deepening search

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- Iterative deepening will try multiple levels and return either a solution if it exists, a failure if it does not, or a cutoff.
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- Iterative deepening will try multiple levels and return either a solution if it exists, a failure if it does not, or a cutoff.
- ► A cutoff means the maximum depth we set previously was reached, so a solution might exist deeper than the levels we explored.
- ► Always complete if solution exists and state space is finite
- ▶ Not cost optimal unless costs are the same (like BFS)
- ▶ Time complexity:  $\mathcal{O}(b^d)$
- ▶ Space complexity: O(bd) (like DFS)

Slightly better than both DFS and BFS!

# Uninformed search strategies

- ► They systematically navigate the search space blindly—not questioning where the goal may be in the space.
- ► The search space is often very large.

# Uninformed search strategies

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- The search space is often very large.

Why not being *smarter* about it?

# Section 4 Informed search strategies

#### Heuristic search

Informed search strategies

To take *better informed decisions*, we can use a domain-specific hint about how "desirable" a state can be.

#### Heuristic search

Informed search strategies

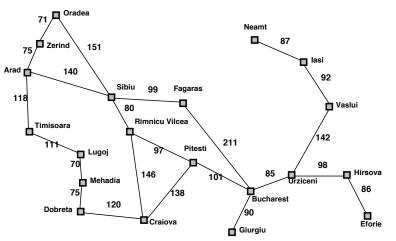
To take *better informed decisions*, we can use a domain-specific hint about how "desirable" a state can be.

This is usually done by using a **heuristic function** h(n), where  $h: S \to \mathbb{R}$ , i.e., a *guessing function* about an estimated remaining cost to the goal.

## Heuristic example: Romania

Informed search strategies

Using *h* as the straight line distance to goal:



| Straight-line distance |     |
|------------------------|-----|
| to Bucharest           |     |
| Arad                   | 366 |
| Bucharest              | 0   |
| Craiova                | 160 |
| Dobreta                | 242 |
| Eforie                 | 161 |
| Fagaras                | 178 |
| Giurgiu                | 77  |
| Hirsova                | 151 |
| Iasi                   | 226 |
| Lugoj                  | 244 |
| Mehadia                | 241 |
| Neamt                  | 234 |
| Oradea                 | 380 |
| Pitesti                | 98  |
| Rimnicu Vilcea         | 193 |
| Sibiu                  | 253 |
| Timisoara              | 329 |
| Urziceni               | 80  |
| Vaslui                 | 199 |
| Zerind                 | 374 |

Straight\_line distance

# (Greedy) Best First Search

Informed search strategies

#### Best first

Choose always the best of your expectations (cheapest estimate).

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Informed search strategies

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Yet again, same idea:

Informed search strategies

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Yet again, same idea:

1. Start

Informed search strategies

#### Best first

Choose always the best of your expectations (cheapest estimate).

Yet again, same idea:

- 1. Start
- 2. Check for goal

Informed search strategies

#### Best first

Choose always the best of your expectations (cheapest estimate).

Yet again, same idea:

- 1. Start
- 2. Check for goal
- 3. Expand and update frontier

Informed search strategies

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Choose always the best of your expectations (cheapest estimate).

#### Yet again, same idea:

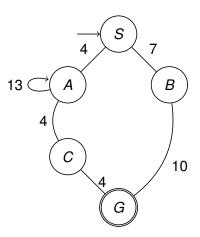
- 1. Start
- 2. Check for goal
- 3. Expand and update frontier
- 4. Choose the best of the estimates

Informed search strategies

With the following estimated distances to the goal:

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- ► h(A) = 3
- ► h(B) = 3
- ▶ h(C) = 3
- ▶ h(G) = 0

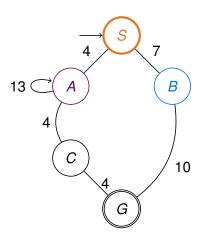


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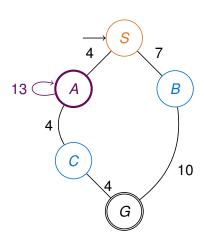
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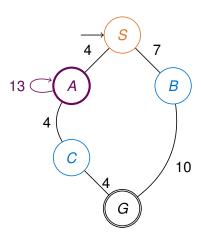


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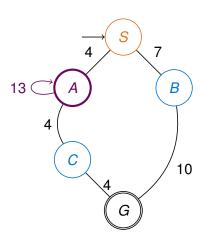


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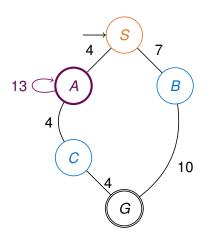


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Informed search strategies

#### With those estimated distances to the goal:

- We have a cycle!
- Tree search would not make it past A
- By adding memory we make it smarter. Still, space complexity increases.
- ► Always Complete in finite spaces with no loops (not our case)
- Might not be optimal (See Romania example!)



Informed search strategies

What if we consider the cost and the heuristic?

Informed search strategies

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Our new evaluation function (criterion) will consider both things:

$$f(n)=g(n)+h(n)$$

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Informed search strategies

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- ightharpoonup f(n) is then the estimated cost of the cheapest solution through n to the goal

#### Informed search strategies

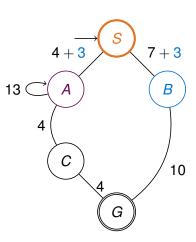
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#### Informed search strategies

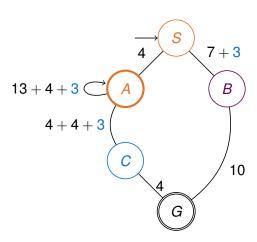
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Informed search strategies

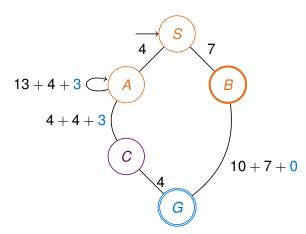
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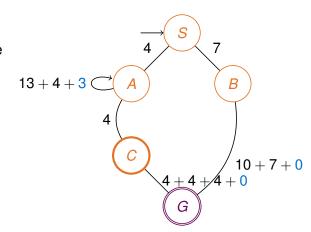
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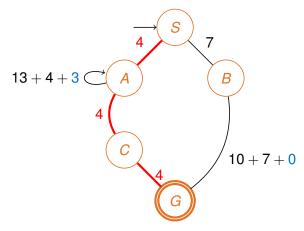
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Informed search strategies

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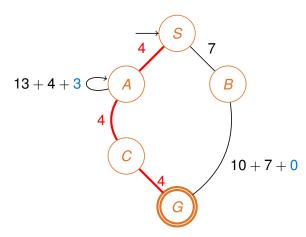
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Informed search strategies

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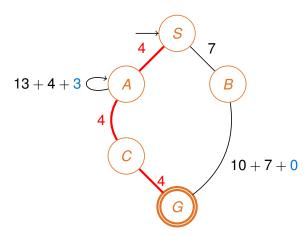
- ▶ We have found the goal!
- It is complete for positive costs, within a finite state space and an existing solution.



Informed search strategies

With the those estimated distances to the goal:

- We have found the goal!
- It is complete for positive costs, within a finite state space and an existing solution.
- it is cost optimal if certain conditions are met



### Friendly reminder

Things to look out for

Implementation details vary a lot, and can be tricky!

- Is the algorithm checking for redundant paths (graph search) or not (tree search)?
- ▶ Is the goal check performed early (when a node is generated) or late (when a node is expanded)?
- ▶ Is the algorithm storing all reached states, or reconstructing the path from a chain of parent nodes?

#### Read the book!

To become familiar with the algorithms and their implementations details, you should read the book. These slides are not a replacement for the book; they are a summary of the most important points.

### Cheatsheet

Things to look out for

Most of the search strategies we cover in this course use the same algorithm to search.<sup>4</sup> It is just Best-First-Search with different functions to decide which element will be popped out of the priority queue:

Depth-First Search

$$f(n) = -\text{depth}(n)$$

Uniform-Cost Search (Dijkstra)

$$f(n) = g(n)$$

**Greedy Best-First Search** 

$$f(n) = h(n)$$

A\* Search

$$f(n) = g(n) + h(n)$$

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Search A

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<sup>&</sup>lt;sup>4</sup>Except for BFS that has a separate algorithm.